



**Summit Wealth  
Management, LLC**  
REGISTERED INVESTMENT ADVISORS

## Summit Wealth Management LLC - Form ADV Part 2B Individual Disclosure Brochure

*for*

**James A. Wynne**

Personal CRD Number: 4128317

Investment Advisor Representative

This brochure supplement provides information about James A. Wynne that supplements the Summit Wealth Management LLC brochure. You should have received a copy of that brochure. Please contact Richard Andrew Weidrick if you did not receive Summit Wealth Management LLC's brochure or if you have any questions about the contents of this supplement.

Summit Wealth Management LLC  
2150 N Cleveland-Massillon Rd  
Akron, OH 44333  
(330) 659-5985  
<https://swm1.us>  
jay@swm1.us

UPDATED: 3/2021

## Item 2: Educational Background and Business Experience

**Name:** James A Wynne      **Born:** 1964

### **Educational Background and Professional Designations:**

#### **Education:**

BS Accounting, The Ohio State University - 1990

#### **Designations:**

##### **CPA - Certified Public Accountant**

- CPAs are licensed and regulated by their state boards of accountancy. While state laws and regulations vary, the education, experience and testing requirements for licensure as a CPA generally include minimum college education (typically 150 credit hours with at least a baccalaureate degree and a concentration in accounting), minimum experience levels (most states require at least one year of experience providing services that involve the use of accounting, attest, compilation, management advisory, financial advisory, tax or consulting skills, all of which must be achieved under the supervision of or verification by a CPA), and successful passage of the Uniform CPA Examination.
- In order to maintain a CPA license, states generally require the completion of 40 hours of continuing professional education (CPE) each year (or 80 hours over a two year period or 120 hours over a three year period). Additionally, all American Institute of Certified Public Accountants (AICPA) members are required to follow a rigorous Code of Professional Conduct which requires that they act with integrity, objectivity, due care, competence, fully disclose any conflicts of interest (and obtain client consent if a conflict exists), maintain client confidentiality, disclose to the client any commission or referral fees, and serve the public interest when providing financial services. The vast majority of state boards of accountancy have adopted the AICPA's Code of Professional Conduct within their state accountancy laws or have created their own.

#### **Business Background:**

11/2019 - Present	Investment Advisor Representative Summit Wealth Management LLC
08/2009 – 11/2019	Investment Advisor Representative EGI Financial Inc.
07/2009 – 11/2019	Registered Representative America Heritage Securities Inc.

### Item 3: Disciplinary Information

There are no legal or disciplinary events that are material to a client's or prospective client's evaluation of this advisory business.

### Item 4: Other Business Activities

Not Applicable

### Item 5: Additional Compensation

James A Wynne does not receive any economic benefit from any person, company, or organization, other than Summit Wealth Management LLC in exchange for providing clients advisory services through Summit Wealth Management LLC.

### Item 6: Supervision

Richard Andrew Weidrick supervises all activities of the firm. James A Wynne adheres to all required regulations regarding the activities of an Investment Advisor Representative and follows all policies and procedures outlined in the firm's policies and procedures manual, including the Code of Ethics, and appropriate securities regulatory requirements.

## Item 7: Requirements For State Registered Advisors

*This disclosure is required by Ohio and Texas state securities authorities and is provided for your use in evaluating this investment advisor representative's suitability.*

- A. James A Wynne has NOT been involved in any of the events listed below.
1. An award or otherwise being found liable in an arbitration claim alleging damages in excess of \$2,500, involving any of the following:
    - a) an investment or an investment-related business or activity;
    - b) fraud, false statement(s), or omissions;
    - c) theft, embezzlement, or other wrongful taking of property;
    - d) bribery, forgery, counterfeiting, or extortion; or
    - e) dishonest, unfair, or unethical practices.
  2. An award or otherwise being found liable in a civil, self-regulatory organization, or administrative proceeding involving any of the following:
    - a) an investment or an investment-related business or activity;
    - b) fraud, false statement(s), or omissions;
    - c) theft, embezzlement, or other wrongful taking of property;
    - d) bribery, forgery, counterfeiting, or extortion; or
    - e) dishonest, unfair, or unethical practices.
- B. James A Wynne has NOT been the subject of a bankruptcy petition